

Recommended weight

1 = 0.5 kg
2 = 1 kg
3 = 2 kg
4 = 5 kg
5 = 10 kg
6 = 15 kg
7 = 20 kg
8 = 25 kg

EXPRESS WORLDWIDE

DOX

From Liechtensteinische Landesbank AG  
Florian Gassner +423 236 97 59  
Stoedle 44  
9490 Vaduz  
LI LIECHTENSTEIN

To Lehman Brothers Holdings Claim Process  
ng  
c/o Epiq Bankruptcy Solutions LLC  
FDR Station, PO Box 5076

10150-5076 NEW YORK NEW  
YORK  
US UNITED STATES OF  
AMERICA

AWB: 7402269755

INSTRUCTIONS:

CONSIGNEE NAME:

LEHMAN BROTHERS HOLDINGS CLAIM P

NEW DELIVERY ADDRESS:

FDR Station, PO Box 5076 FILE RECEIVED

757 3rd Ave. 3rd fl.

646-282-2500

PHONE: 1 800 314 5550

US-ZYP-TSS

DOX

EXPRESS WORLDWIDE

101505076 NEW YORK, United States

US-ZYP-TSS

JFKL

Account No. 150347679

Date: 0.10 / 0.10 KG 1/1

Rel code

Content description:

WAYBILL 74 0226 9755

(2L)US101505076 + 42000000

(J)JD01 4600 0009 0312 9030

www.dhl.com



Liechtensteinische  
Landesbank<sup>1861</sup>

Liechtensteinische  
Landesbank AG

Städtle 44 · P. O. Box 384  
9490 Vaduz · Liechtenstein

Telephone +423 236 88 11  
Fax +423 236 88 22  
www.llb.li

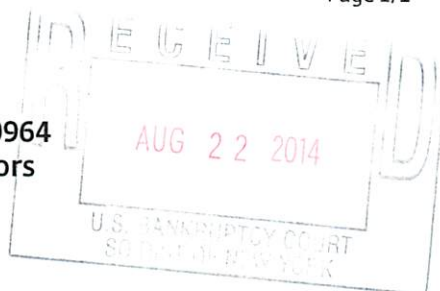
Registered office Vaduz  
Register authority: AJU  
HR FL-0001.000.289-1

Florian Gassner  
Reference LLBSSE / GAF  
Direct line +423 236 97 59  
Direct fax +423 236 99 82  
florian.gassner@llb.li

Vaduz, 19 August 2014  
Page 1/1

Lehman Brothers Holdings Claim Processing  
c/o Epiq Bankruptcy Solutions LLC  
FDR Station, PO Box 5076  
10150-5076 New York

**Case No. 08-13555 (JMP) / Claim number 60964**  
**Lehman Brothers Holdings Inc, et al, Debtors**



Dear all

Attached to this cover sheet you will find documents for our currently pending claim transfer to Alpha RHEINTAL Bank AG.

Please note, the original transfer form (dated July 17<sup>th</sup> 2014) has been rejected by your side due to the fact that the transfer amount has been set up in CHF instead of USD. I therefore kindly ask you to amend the transfer to USD 173'913.60.

The new and correct transfer form of USD 173'913.60 - duly signed by all parties - has been attached to this cover sheet (please avoid any duplication!).

Feel free to contact us if you have any further questions.

Yours sincerely

Liechtensteinische Landesbank  
Aktiengesellschaft

  
Daniel Eberle  
Assistant Vice President

  
Florian Gassner  
Assistant Vice President

- Form for transfer of claim
- Copy of incorrect transfer form that has to be replaced

Amended form

replaces the form  
with transfer amount  
in CHF.



Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

In re: Lehman Brothers Holdings Inc.

Case No.: 08-13555 (JMP)

Court ID (Court Use Only) \_\_\_\_\_

**NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY  
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)**

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<b>Name of Transferee:</b> Alpha RHEINTAL Bank AG	<b>Name of Transferor:</b> Liechtensteinische Landesbank Aktiengesellschaft
Notices to Transferee should be sent to: Alpha RHEINTAL Bank AG c/o Finanz-Logistik AG Rosenbergstrasse 16 9004 St. Gallen PHONE: +41 71 242 77 17 Attn: Marcel Ledergerber EMAIL: marcel.ledergerber@finanz-logistik.ch	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor: Liechtensteinische Landesbank Aktiengesellschaft Staedtle 44 9490 Vaduz Principality of Liechtenstein
<b>Amount of Claim Being Transferred:</b>  <b>SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS</b> (face amount of securities)	<b>NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY --- SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS</b>
<b>Court Claim No. (if known):</b> 60964	
<b>Date Claim Filed:</b> November 02, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_

Alpha RHEINTAL Bank AG  
c/o Finanz-Logistik AG  
Rosenbergstrasse 16  
9004 St. Gallen

Date: 20140818

*Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).*



Evidence of Transfer

EVIDENCE OF TRANSFER OF CLAIM

TO: United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")  
One Bowling Green  
New York, New York 10004  
Attention: Clerk of the Court

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: *In re Lehman Brothers Holdings Inc.*, No. 08-13555 (JMP)

Proof of Claim Number: 60964 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim"): USD 173'913.60

This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
14% Cert.Barrier Rev. Lehman Brothers 2007-19.12.2008 Basket shs Reg.	CH0034774536	LBS NV	LBH Inc.	USD 173'913.60 out of USD 2'978'270.40

The aggregate amount of the Transferred Claim is To Be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on pages 11 and in paragraphs/lines 9 of the Addendum to the Proof of Claim.

LIECHTENSTEINISCHE LANDESBANK AKTIENGESELLSCHAFT, ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to:

Alpha RHEINTAL Bank AG  
c/o Finanz-Logistik AG  
Rosenbergstrasse 16  
9004 St. Gallen  
PHONE: +41 71 242 77 17  
Attn: Marcel Ledergerber  
EMAIL: marcel.ledergerber@finanz-logistik.ch

("Transferee") all rights, title and interest in and to the Transferred Claim against the Debtor in Case No. 08-13555 (JMP) in the Bankruptcy Court, or any other court with jurisdiction over the bankruptcy proceedings of the Debtor.

Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the

Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring the Transferred Claim to Transferee and recognizing the Transferee as the sole holder of the Transferred Claim.

You are hereby directed to make all future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

IN WITNESS WHEREOF, the undersigned has duly executed this Evidence of Transfer of Claim by its duly authorized representative dated August 17, 2012.

DRRT FBO

LIECHTENSTEINISCHE LANDESBANK AKTIENGESELLSCHAFT  
Transferor

By: 

Name: Alexander Reus  
Title: Managing Partner

ACKNOWLEDGED BY:

Alpha RHEINTAL Bank AG  
Transferee

By: 

Name: Marcel Ledergerber  
Title: Member of the Management

FINANZ-LOGISTIK AG

By: 

Name: Roman Lengwiler  
Title: Member of the Management